



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/697,155	10/31/2003	Hideo Wada	Q78279	1659

23373 7590 12/01/2005  
SUGHRUE MION, PLLC  
2100 PENNSYLVANIA AVENUE, N.W.  
SUITE 800  
WASHINGTON, DC 20037

EXAMINER
----------

MALEVIC, DJURA

ART UNIT	PAPER NUMBER
----------	--------------

2884

DATE MAILED: 12/01/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/697,155

Applicant(s)

WADA ET AL.

Examiner

Djura Malevic

Art Unit

2884

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 31 October 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08).  
Paper No(s)/Mail Date 10/31/03 & 7/05/05.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

**Claim Rejections - 35 USC § 103**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1 – 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oda (US Patent 6,448,557 B2) in view of Cole et al (US Patent 6,046,485).

Regarding claim 1, Oda discloses a thermal infrared detector having a thermal isolation structure (Fig. 13), which comprises:

- a) A substrate 1 having reflecting film 2 formed on a surface.
- b) A thin-film infrared detecting portion 7, which is separated from the substrate 1 by a cavity 4 and the said thin-film 7 also creates heat according to the detected infrared rays.
- c) A beam 6a separated by a gap from the infrared detecting portion, supporting the infrared detecting portion.
- d) An electrode portion 13 and a metal wiring 9.

Oda also discloses the thermal infrared detector further comprising:

- e) A shield 12, which extends from the infrared detecting portion separated by a gap from the beam and said shield also having an infrared absorbing thin film 14 together with the infrared detection portion.

Oda does not expressly disclose the beam being greater in thickness than the infrared detection portion in a direction perpendicular to the surface of the substrate. Cole teaches an infrared detector having beams 46 and 48 with protective layer 64 larger than the infrared detection portion. Oda and Cole are analogous art because they are from the same field of endeavor, infrared detectors.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to modify Oda to include a beam larger than the infrared detection portion as taught by Cole in order to reduce the time constant by reducing the thermal mass, which can then support faster speed arrays. This modification accomplishes speed without sacrificing other optimized characteristics, as this will not affect the resistive elements (beams) (Col. 2, Line 62 – Col. 3, Line 14).

Regarding claim 2, Oda discloses that the infrared detector has a thin film absorbing part 14 formed on the infrared incident surface 5. Oda also discloses the shield having an infrared absorbing film 14 formed on the infrared incident surface 5 and an opposite surface 12.

Regarding claim 3, Oda discloses the infrared detector wherein each of the infrared detecting portion 5 and the shield 12 is covered with a dielectric protective film 14 made of an infrared absorbing material.

Regarding claim 4, Oda discloses a thermal infrared detector having a thermal isolation structure (Fig. 13), wherein the thermal infrared detector comprises, in each pixel area:

a) A substrate having a contact pad (Abstract).

b) An infrared detecting portion 5, comprising: a heat detecting material thin film 7; an electrode portion 13, electrically connected to the said material 7; a dielectric protective film 8, surrounding the electrode portion 13 and said material 7; and an infrared absorbing film 14, separated by a space 4 from the substrate and arranged above the substrate 1.

c) A beam 6a supporting the infrared detecting portion 5 above the substrate 1.

Also, the beam comprises wiring 9 made of conductive material and electrically connecting the electrode of the infrared detector to the contact pad of the substrate. Furthermore, the said conductive material 9 being surrounded by a dielectric protective film 10.

d) A shield 12, extending outward from the infrared detecting portion 5, covering the beam 6a and contact pad. Additionally, said shield is above the substrate with a space from the surface of the beam and contact pad. Also, the said shield having an infrared absorbing film 14 formed on the infrared surface 5 and opposite surface 12.

However, Oda does not expressly disclose the said protective film 10, greater in thickness than the dielectric protective film 8 in a direction perpendicular to the substrate. Cole teaches an infrared detector having beams 46 and 48 with protective layer 64 larger than the infrared detection portion. Oda

Art Unit: 2884

and Cole are analogous art because they are from the same field of endeavor, infrared detectors.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to modify Oda to include a beam including the protective film larger than the infrared detection portion as taught by Cole in order to reduce the time constant by reducing the thermal mass, which can then support faster speed arrays. This modification accomplishes speed without sacrificing other optimized characteristics, as this will not affect the resistive elements (beams) (Col. 2, Line 62 – Col. 3, Line 14).

Regarding claim 5, Oda discloses a thermal infrared detector having a thermal isolation structure (Fig. 13), whereas the thermal infrared detector comprises:

a) A substrate having a contact pad (Abstract).

b) An infrared detecting portion 5, comprising: a heat detecting material thin film 7; an electrode portion 13, electrically connected to the said material 7; a dielectric protective film 8, made of infrared absorbing material (Col. 11, Line 20) and surrounding the electrode portion 13 and said material 7; and an infrared absorbing film 14, separated by a space 4 from the substrate and arranged above the substrate 1.

c) A beam 6a supporting the infrared detecting portion 5 above the substrate 1.

Also, the beam comprises wiring 9 made of conductive material and electrically connecting the electrode of the infrared detector to the contact

pad of the substrate 1. Furthermore, the said conductive material 9 being surrounded by a dielectric protective film 10.

d) A shield 12 made of an infrared absorbing material (Col. 12, Line 8) and extending outward from the infrared detecting portion 5 covering the beam 6a and contact pad. Additionally, the said shield is above the substrate 1 with a space from the surface of the beam and contact pad. Also, the said shield having an infrared absorbing film 14, formed on the infrared surface 5 and opposite surface 12.

However, Oda does not expressly disclose the said protective film 10, greater in thickness than the dielectric protective film 8 in a direction perpendicular to the substrate. Cole teaches an infrared detector having beams 46 and 48 with protective layer 64 larger than the infrared detection portion. Oda and Cole are analogous art because they are from the same field of endeavor, infrared detectors.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to modify Oda to include a beam including the protective film larger than the infrared detection portion as taught by Cole in order to reduce the time constant by reducing the thermal mass, which can then support faster speed arrays. This modification accomplishes speed without sacrificing other optimized characteristics, as this will not affect the resistive elements (beams) (Col. 2, Line 62 – Col. 3, Line 14).

Regarding claim 6, Oda discloses the claim invention (fig. 13) and a method comprising the steps of:

a) Preparing the substrate 1 having a contact pad 11 (Col. 11, Line 32).

b) Infrared reflecting film 2 is formed on the surface of the substrate 1 (Col. 10, Line 49).

c) The infrared reflecting film 2 being covered by dielectric protective film 3 (Col. 10, Line 54).

d) A polyimide film is packed as a sacrificial layer into cavity 4 (Col. 11, Line 55), which is on the surface of the substrate (Col. 15, Line 12), said sacrificial layer is covered by dielectric protective film (Col. 15, Line 29).

e) Heat detecting material thin-film 7 is next formed on the surface of the dielectric protective film (Col. 15, Line 33).

f) A third dielectric protective film is next formed over the entire surfaces of exposed part of the second dielectric film except part of the heat detecting material thin-film (Col. 16, Line 41).

g) Forming openings in each of the first, second and third dielectric protective films at the contact pad and in the heat detecting material thin-film at a slit corresponding to the electrode (Col. 15, Line 50 – Col 16 Line 67).

h) Forming a metal film throughout an entire surface of the third dielectric film and an entire inner wall of each of the openings (Col. 16, Line 18 – Col. 16, Line 67).



i) Patterning the metal film so that the third dielectric protective film is exposed to form the electrode of the infrared detector and the metal wiring of the beam (Col. 16, Line 33 – Col. 16, Line 46).

j) Forming a fourth-dielectric protective film on a surface or each of the metal wiring and the third dielectric film (Col. 16, Line 41).

k) Outlining the three dielectric protective films so that the first sacrificial layer forms a slit as a gap between the infrared detector and the beam and boundary slit as a boundary between adjacent pixels (Col 16, Line 55 –Col 16, Line 67).

l) Next, fourth dielectric protective film 41 is etched into slit forms by a plasma of gas mixture to partially expose second sacrificial layer 40, shield 12 is thus formed from dielectric protective film 41 that remains from the second sacrificial layer, which extends from the outer perimeter of the infrared detecting portion separated by a gap from the beam so as to cover the beam on an infrared incident side with a space interposed between the beam and the shield (fig 12K) (Col. 17, Line 38).

m) Forming on the surface of the fourth dielectric protective film 37, a second sacrificial layer 40 for forming the first slit and the boundary slit with each slit having an exposed surface with first sacrificial layer 31 at the bottom 38 with a space between the beam 6 and the shield 12, and a space between the contact pad 11 of the substrate 1 and the shield 12 (Col. 17, Line 1 –Col. 17, Line 31).

n) Forming a metal film 14 as an infrared absorbing part on the surfaces of shield 12 and also film 14 is thus formed on the surface of infrared area 5 that is directed away from substrate 1 (Col. 18, Line 6).

o) Removing the first and second sacrificial layers through the first, second and boundary slits (Col. 17, Line 51).

However, Oda does not expressly disclose etching the fourth dielectric film on the infrared detecting portion, so that the thickness of the fourth dielectric protective film is reduced to form the fifth dielectric protective film. Cole teaches an infrared detector having the infrared detection portion etched to reduce the cross sectional mass so that the thickness of protective film is reduced to form a reduced dielectric protective film. Oda and Cole are analogous art because they are from the same field of endeavor, infrared detectors.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to modify Oda to include in the method a step for etching the fourth dielectric protective film, therefore reducing the size of fourth dielectric film to form a fifth dielectric protective film as taught by Cole in order reduce the cross-section of pixels, created by well-known thin film techniques, such as masking and etching. This reduction will have a positive effect on the pixel's operational characteristics. More specifically, the reduction in mass directly affects the time constant of the pixels, which is proportionally related to the pixel speed capabilities. Consequently, a reduction in time constant translates into a higher speed pixel (Col. 4, Line 7 - Col. 4, Line 29).

Regarding claim 7, Oda discloses a method comprising a step forming a metal film 14 as an infrared absorbing part on the surfaces of shield 12 and also said film 14 is etched to form a slit to partially expose the second sacrificial layer 40 (Col. 20, Line 34).

Regarding claim 8, Oda discloses shield-forming dielectric protective film and second, third and fifth dielectric films of an infrared absorbing material (Col. 10, Line 52; Col. 11, Line 20; Col 12, Line 49; Col. 18, Line 25).

Regarding claim 9, Oda discloses the claimed invention (fig. 13) and a method comprising the steps of:

a) Preparing the substrate 1 having a contact pad 11 (Col. 11, Line 32).

b) Infrared reflecting film 2 is formed on the surface of the substrate 1 (Col. 10, Line 49).

c) The infrared reflecting film 2 being covered by dielectric protective film 3 (Col. 10, Line 54).

d) A polyimide film is packed as a sacrificial layer into cavity 4 (Col. 11, Line 55), which is on the surface of the substrate (Col. 15, Line 12), said sacrificial layer is covered by dielectric protective film (Col. 15, Line 29).

e) Heat detecting material thin-film 7 is next formed on the surface of the dielectric protective film (Col. 15, Line 33).

f) Forming a third dielectric protective film is next formed over the entire surfaces of exposed part of the second dielectric film except part of the heat detecting material thin-film (Col. 16, Line 41).

g) Forming openings in each of the first, second and third dielectric protective films at the contact pad and in the heat detecting material thin-film at a slit corresponding to the electrode (Col. 15, Line 50 – Col 16 Line 67).

h) Forming a metal film throughout an entire surface of the third dielectric film and an entire inner wall of each of the openings (Col. 16, Line 18 – Col. 16, Line 67).

i) Patterning the metal film so that the third dielectric protective film is exposed to form the electrode of the infrared detector and the metal wiring of the beam (Col. 16, Line 33 – Col. 16, Line 46).

j) Forming a fourth-dielectric protective film on a surface or each of the metal wiring and the third dielectric film (Col. 16, Line 41).

k) Outlining the three dielectric protective films so that the first sacrificial layer forms a slit as a gap between the infrared detector and the beam and boundary slit as a boundary between adjacent pixels (Col 16, Line 55 – Col 16, Line 67).

l) Next, fourth-dielectric protective film 41 is etched into slit forms to partially expose second sacrificial layer 40, shield 12 is thus formed from dielectric protective film 41 that remains from the second sacrificial layer, which extends from the outer perimeter of the infrared detecting portion

separated by a gap from the beam so as to cover the beam on an infrared incident side with a space interposed between the beam and the shield (fig 12K) (Col. 17, Line 38).

m) Forming on the surface of the forth dielectric protective film 37, a second sacrificial layer 40 for forming the first slit and the boundary slit with each slit having an exposed surface with first sacrificial layer 31 at the bottom 38 with a space between the beam 6 and the shield 12, therefore covering the beam 6 on an infrared incident side and a space between the contact pad 11 of the substrate 1 and the shield 12 (Col. 17, Line 1 –Col. 17, Line 31).

n) Removing the first and second sacrificial layers through the first, second and boundary slits (Col. 17, Line 51).

However, Oda does not expressly disclose etching the fourth dielectric film on the infrared detecting portion, so that the thickness of the fourth dielectric protective film is reduced to form the fifth dielectric protective film. Cole teaches an infrared detector having the infrared detection portion etched to reduce the cross sectional mass so that the thickness of protective film is reduced to form a reduced dielectric protective film. Oda and Cole are analogous art because they are from the same field of endeavor, infrared detectors.

It would have been obvious at the time the invention was made to a person of ordinary skill in the art to modify Oda to include in the method a step for etching the fourth dielectric protective film, therefore reducing the size of fourth dielectric film to form a fifth dielectric protective film as taught by Cole in

Art Unit: 2884

order reduce the cross-section of pixels, created by well-known thin film techniques, such as masking and etching. This reduction will have a positive effect on the pixel's operational characteristics. More specifically, the reduction in mass directly affects the time constant of the pixels, which is proportionally related to the pixel speed capabilities. Consequently, a reduction in time constant translates into a higher speed pixel (Col. 4, Line 7 - Col. 4, Line 29).

**Conclusion**

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Gooch et al. (US Patent 6,690,014) teaches a micro bolometer and method for forming.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Djura Malevic whose telephone number is 571.272.5975. The examiner can normally be reached on Monday - Friday between 8:30am and 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Porta can be reached on (571) 272-2444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2884

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

**Djura Malevic**  
**Patent Examiner**  
**Art Unit 2884**  
**571.272.5975**



**DAVID PORTA**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2800**